

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

MAY 24 2001

(Use several sheets if necessary)

APPLICANT:
Ronald A. Katz

MAY 25 2001

FILING DATE:
September 14, 1994GROUP: Technology Center 2600
2643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	3,727,186	04/1973	Stephenson			
	4,599,493	07/1986	Ellis Cave			
	4,769,834	09/1988	Billinger et al.			
	4,829,563	06/1989	Crockett et al.			
	4,881,261	07/1986	Oliphant et al.			
	4,899,375	02/1990	Bauer et al.			
SW	4,942,598	07/1990	Davis			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

OC-14274.1

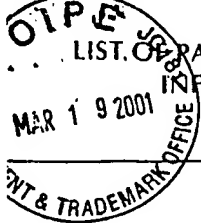
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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ATTY. DO J.
228/046 (6646-114N5)

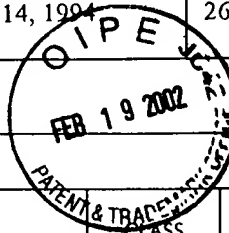
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08/306,456

APPLICANT:
Ronald A. Katz

FILING DATE:
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

SW	A	"Network Communications Applications and Services," AT&T Communications Consultant Liaison Program, Issue 1, June 1984

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	3,787,632	01/1974	Male et al.	-----	-----	-----
	3,940,569	02/1976	Schonbrun	-----	-----	-----
	3,987,252	10/1976	Vicari	-----	-----	-----
	4,068,099	01/1978	Mikkola	-----	-----	-----
	4,087,638	05/1978	Hayes et al.	-----	-----	-----
	4,090,034	05/1978	Moylan	-----	-----	-----
	4,160,129	07/1979	Peyser et al.	-----	-----	-----
	4,192,972	03/1980	Bertoglio et al.	-----	-----	-----
	4,221,933	09/1980	Cornell et al.	-----	-----	-----
	4,255,619	03/1981	Saito	-----	-----	-----
	4,360,875	11/1982	Behnke	-----	-----	-----
	4,401,856	08/1983	Curtin et al.	-----	-----	-----
	4,428,296	01/1984	Scheuchzer et al.	-----	-----	-----
	4,445,001	04/1984	Bertoglio	-----	-----	-----
	4,517,412	05/1985	Newkirk et al.	-----	-----	-----
	4,518,824	05/1985	Mondardini	-----	-----	-----
	4,549,291	10/1985	Renoulin	-----	-----	-----
	4,585,903	04/1986	Schiller et al.	-----	-----	-----
	4,591,665	05/1986	Foster et al.	-----	-----	-----
	4,600,809	07/1986	Tatsumi et al.	-----	-----	-----
	4,611,096	09/1986	Asmuth et al.	-----	-----	-----
SW	4,625,081	11/25/86	Lotito et al.	-----	-----	-----

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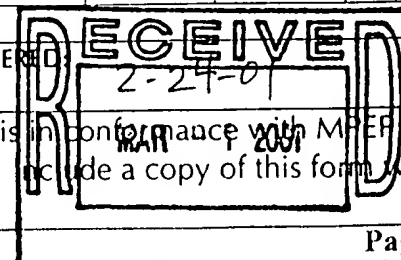
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sw	4,640,991	02/1987	Matthews et al.	-----	-----	-----
	4,672,660	06/1987	Curtin	-----	-----	-----
	4,685,127	08/1987	Miller et al.	-----	-----	-----
	4,747,124	05/1988	Ladd	-----	-----	-----
	4,759,056	07/1988	Akiyama	-----	-----	-----
	4,761,808	08/1988	Howard	-----	-----	-----
	4,791,666	12/1988	Cobb et al.	-----	-----	-----
	4,797,818	01/1989	Cotter	-----	-----	-----
	4,805,207	02/1989	McNutt et al.	-----	-----	-----
	4,878,240	10/1989	Lin et al.	-----	-----	-----
	4,893,325	01/1990	Pankonen et al.	-----	-----	-----
	4,896,346	1/1990	Belfield et al.	-----	-----	-----
	4,899,373	02/1990	Lee et al.	-----	-----	-----
	4,908,852	03/1990	Hird et al.	-----	-----	-----
	4,922,519	05/1990	Daudelin	-----	-----	-----
	4,933,965	02/1991	Hird	-----	-----	-----
	4,989,234	01/1991	Schakowsky et al.	-----	-----	-----
	5,036,535	07/1991	Gechter et al.	-----	-----	-----
	5,199,062	03/1993	Von Meister et al.	-----	-----	-----
	5,222,120	06/1993	McLeod et al.	-----	-----	-----
	5,299,260	03/1994	Shaio	-----	-----	-----
Sw	5,303,298	04/1994	Morganstein	-----	-----	-----

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Engineering and Operations in the Bell System, AT&T Bell Laboratories, 1983 (Book)
Joel, A.E., "A History of Engineering and Science in the Bell System, Switching Technology (1925-1975)," Bell Telephone Laboratories, 1982 (Book)
"ISDN - Proceedings of the conference held in San Francisco, November 1986," OnLine, New York: London
Raack, G.A., et al., "Customer Control of Network Services," IEEE Communications Magazine, October 1984 (A21717089) also ISS 84, Florence Italy, May 1984
Soderberg, J.H., "Machines at your Fingertips," Bell Laboratories Record, July 1969 (A21717175)
Gawrys, G.W. et al., "A New Protocol for Call Handling Functions for the SPC Network," Globecom '82 Conference Record, November/December 1982
Buss, C.M., "Tuning the Human/Machine Interface for AT&T Advanced 800 Service," IEEE, July 1985
Asmuth, R.L., et al., "Transaction Capabilities for Network Services," Globecom '85 IEEE Global Telecommunications Conference, New Orleans, December 1985
Mahood, Gerald K., "Human Factors in TOUCH-TONE Data Systems," Bell Laboratories Record, December 1971 (A21717170)
"4 ESS System Evolution," Bell System Technical Journal, August 1981

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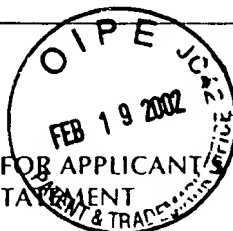
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S	5,303,299	04/1994	Hunt et al.			
	5,354,069	10/1994	Guttman et al.			
	5,418,844	05/1995	Morrissey et al.			

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	0 249 795	12/1987	EPC			No
	2 575 016	07/1986	France			No
	WO 82/02132	06/1982	PCT			yes

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	Dial Info Articles (various articles with various dates) (R0016101-R0016188)
	Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424)
	AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596)
	"All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691)
	"New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699)
	Arbogast, James G. et al., "Home Diabetes Monitoring Through Touch-Tone Computer Data Entry and Voice Synthesizer Response," Annual Symposium on Computer Applications in Medical Care 8th Care Proceedings - Eighth Annual Symposium on Computer Applications in Medical Care, 1984 (MMI 020731)
	Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151)
	Sable, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987
	"The Stored Program Controlled Network" The Bell System Technical Journal, September 1982
SW	The World's Telephones, a Statistical Compilation as of January 1980, AT&T Long Lines, 1981 (Book)

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U.S. PATENT DOCUMENTS

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SW	3,553,378	01/1971	Solomon, et al.	-----	-----	-----
	3,829,628	08/1974	Tripsas	-----	-----	-----
	4,565,903	01/1986	Riley	-----	-----	-----
	4,581,486	04/1986	Matthews, et al.	-----	-----	-----
	4,750,199	06/1988	Norwich	-----	-----	-----
	4,761,807	08/1988	Matthews, et al.	-----	-----	-----
	4,782,519	11/1988	Patel, et al.	-----	-----	-----
	4,785,473	11/1988	Pfeiffer, et al.	-----	-----	-----
	4,799,255	01/1989	Billinger, et al.	-----	-----	-----
	4,856,066	08/1989	Lemelson	-----	-----	-----
	4,932,021	06/1990	Moody	-----	-----	-----
	4,951,307	08/1990	Willard	-----	-----	-----
	4,959,855	09/1990	Daudelin	-----	-----	-----
	5,033,076	07/1991	Jones, et al.	-----	-----	-----
	5,033,088	07/1991	Shipman	-----	-----	-----
	5,054,059	10/1991	Stern, et al.	-----	-----	-----
	5,125,024	06/1992	Gokcen, et al.	-----	-----	-----
	5,148,474	06/1992	Haralambopoulos, et al.	-----	-----	-----
	5,164,981	11/1992	Mitchell, et al.	-----	-----	-----
	5,168,548	12/1992	Kaufman, et al.	-----	-----	-----
	5,179,585	01/1993	MacMillan, Jr., et al.	-----	-----	-----
SW	5,181,236	01/1993	LaVallee, et al.	-----	-----	-----

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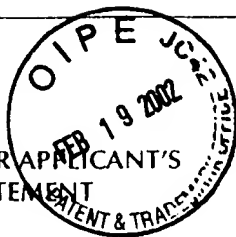
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S	5,186,471	02/1993	Vancraeynest	-----	-----	-----
	5,214,689	05/1993	O'Sullivan	-----	-----	-----
	5,236,199	08/1993	Thompson, Jr.	-----	-----	-----
	5,289,531	02/1994	Levine	-----	-----	-----
	5,327,554	07/1994	Palazzi, III, et al.	-----	-----	-----
	5,361,295	11/1994	Solomon, et al.	-----	-----	-----
	5,369,685	11/1994	Kero	-----	-----	-----
	5,416,830	05/1995	MacMillan, Jr., et al.	-----	-----	-----
	5,511,112	04/1996	Szlam	-----	-----	-----
	5,537,143	07/1996	Steingold, et al.	-----	-----	-----
	5,561,710	10/1996	Helms	-----	-----	-----
	5,623,536	04/1997	Solomon, et al.	-----	-----	-----
	5,651,048	07/1997	Leeuw	-----	-----	-----
	5,768,348	06/1998	Solomon, et al.	-----	-----	-----

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
S	1162484	04/1967	Great Britain	-----	-----	Yes
	WO 89/11768	11/1989	PCT	-----	-----	Yes
	WO 90/10989	09/1990	PCT	-----	-----	Yes
	WO 90/11661	10/1990	PCT	-----	-----	Yes
	WO 91/15818	10/1991	PCT	-----	-----	Yes
	WO 92/06548	04/1992	PCT	-----	-----	Yes

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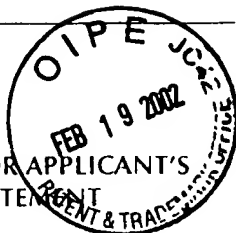
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Sw	4,689,742	08/25/87	Troy et al.	-----	-----	-----
	4,710,955	12/01/87	Kauffman	-----	-----	-----
	4,832,341	05/22/89	Muller	-----	-----	-----
	4,835,630	05/1989	Freer	-----	-----	-----
	4,850,007	07/18/89	Marino et al.	-----	-----	-----
	4,916,726	04/10/90	Morley, Jr. et al.	-----	-----	-----
	4,926,462	05/15/90	Ladd et al.	-----	-----	-----
	5,000,486	03/19/91	Rua, Jr. et al.	-----	-----	-----
	5,003,595	03/1991	Collins et al.	-----	-----	-----
	5,128,984	07/07/92	Katz	-----	-----	-----
	5,351,285	09/1994	Katz	-----	-----	-----
	5,403,999	04/04/95	Entenmann et al.	-----	-----	-----
	5,415,416	05/16/95	Scagnelli et al.	-----	-----	-----
	5,475,205	12/12/95	Behm et al.	-----	-----	-----
	5,490,207	02/06/96	Schorr	-----	-----	-----
	5,537,143	07/16/96	Steingold et al.	-----	-----	-----
	5,599,046	02/14/97	Behm et al.	-----	-----	-----
	5,709,603	01/20/98	Kaye	-----	-----	-----
	5,787,156	07/28/98	Katz	-----	-----	-----
Sw	5,790,636	08/1998	Marshall	-----	-----	-----

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	5,815,551	09/1998	Katz	-----	-----	-----
	5,835,576	11/10/98	Katz	<div> <div>RECEIVED</div> <div>FEB 27 2002</div> <div>Technology Center 2600</div> </div>		

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	32 25 562	01/19/84	Germany	-----	-----	No
	2 252 270 B	08/1992	Great Britain	-----	-----	yes
	0 217 308 A2	04/08/87	Europe	-----	-----	yes
	0 917 335 A2	05/19/99	Europe	-----	-----	yes
	246067/1988	10/13/88	Japan	-----	-----	No
	009353/1988	01/16/88	Japan	-----	-----	No
	117436/1989	05/10/89	Japan	-----	-----	No
	54-62708	05/21/79	Japan	-----	-----	No
	52-17739	02/09/77	Japan	-----	-----	No
	59-83270	05/14/84	Japan	-----	-----	No
	62-92654	04/28/87	Japan	-----	-----	No
	62-98848	05/08/87	Japan	-----	-----	No
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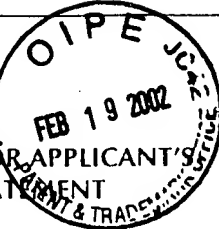
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

S		Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.
		A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.
		An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc.
		A trademark scan (U.S. Federal) indicating a first date of use for Telebroker in June 18, 1988.
		Bulfer, Andrew F., "AT&T's Pay-Per-View Television Trial", published in AT&T Technical Journal, May/June, 1987
		Friedes, A., et al., "ISDN opportunities for large business - 800 service customers," IEEE International Conference on Communications '86, June 22-25, 1986, Vol. 1, pp. 28-32
		Allyn, Mark R. et al., "Planning for people: Human factors in the design of a new service," Bell Laboratories Record, May 1980, pp. 155-161
B		Hanson, Bruce L., et al., "No. 1A VSS New custom calling services," Bell Laboratories Record, June 1980, pp. 174-180

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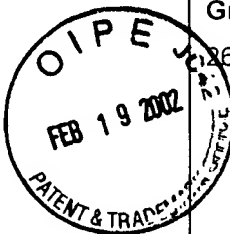
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6046-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643 <div style="text-align: center;"> RECEIVED FEB 27 2002 Technology Center 2600 </div>		
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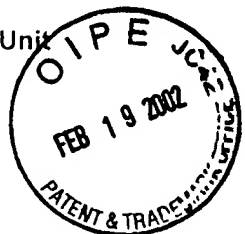
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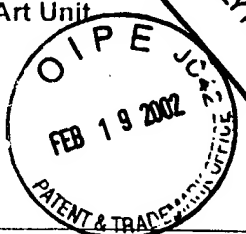


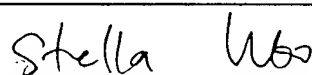
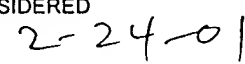
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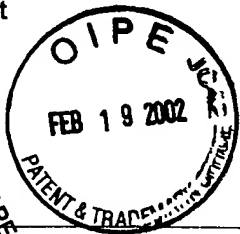
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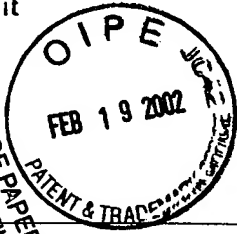
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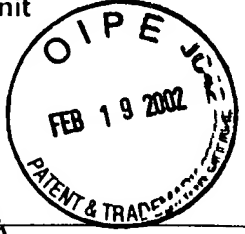


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FORM PTO-1449

Docket No. (optional)

Application No.

INFORMATION
DISCLOSURE CITATION
IN AN APPLICATION

SUPPLEMENTAL VII

228/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

FEB 27 2002

09/14/1994

Technology Center 2600

08/306,456

Group Art Unit

2643

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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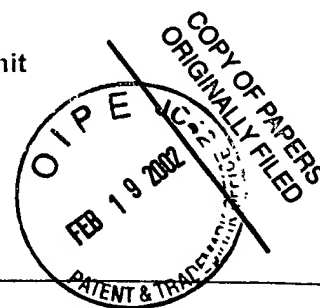
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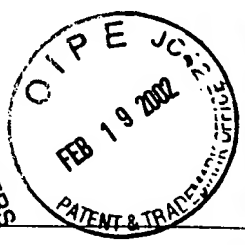
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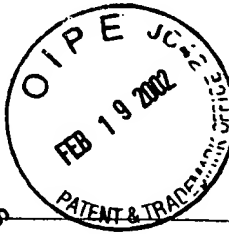
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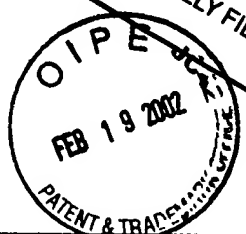
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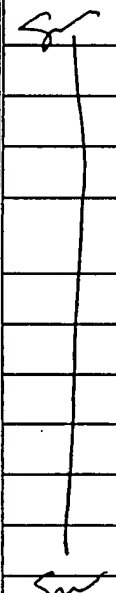
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<i>SW</i> <div style="border-left: 1px solid black; height: 100px; margin-left: 5px;"></div>	Press Release, Computerworld, October 7, 1985, p. 68 (A21708055)					
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